

PTO-1390 (Rev. 02-2005)
Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 2815-0316PUS1 DESIGNATED/ELECTED OFFICE (DO/EO/US) APPLICATION NO (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/EP2003/050860 21 November 2003 28 November 2003 METHOD FOR SCREENING FOR COMPOUND AS POTENTIAL SEDATIVES OR TITLE OF INVENTION **ANXIOLYTICS** APPLICANT(S) FOR DO/EO/US Jens Damsgaard MIKKELSEN Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must 3. include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4 Х 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) Х is attached hereto (required only if not communicated by the International Bureau). a. b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). C. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). 6. is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) Х are attached hereto (required only if not communicated by the International Bureau). a. have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. d. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12 13. A preliminary amendment. Х An Application Data Sheet under 37 CFR 1.76. 14 15. A substitute specification. 16. A power of attorney and/or change of address letter.

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Other items or information: PCT/ISA/210; PCT/IPEA/416; PCT/IPEA/409 & Two (2) Sheets of Formal Drawings

17.

18.

19.

20.

JC06 Rec'd PCT/PTO 20 MAY 2005

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U.S. APPLICATION NO. Transaction See STCFR1 (1) INTERNATIONAL APPLICATION NO.							ATTORNEY'S DOCKET NUMBER				
FC1/EF2003/030800							2815-0316PUS1				
The following fees have been submitted								<u> </u>	CALCULATIONS	PTO USE ONLY	
21. x Basic national fee\$300								\$	300.00		
22. x Examination fee											
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)\$100											
All other situations \$200									200.00		
23. x Search fee											
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the											
USPTO as an International Searching Authority											
All other situations\$500									500.00		
TOTAL OF 21, 22 and 23 =									1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is											
\$250 for each additional 50 sheets of paper or fraction thereof.											
Total Sheets	tra sheets			each additional 50 or fraction ound up to a whole number		RATE					
12 - 100 =				x \$250.00			1				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months								s		-	
from the earliest claimed priority date (37 CFR 1.492 (e)).										L	
				NUI	NUMBER EXTRA RATE			\$	0.00	T	
	Total claims 10 - 20 = Independent claims 1 - 3 =					X			0.00		
Independent cla				able)		X		\$ \$	0.00		
MULTIPLE DEPENDENT CLAIM(s) (if applicable) + TOTAL OF ABOVE CALCULATIONS =								\$	1,000.00		
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.								s	.,		
SUBTOTAL =								\$	1,000.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months								1	1,000.00	L	
from the earliest claimed priority date (37 CFR 1.492 (f)).								\$			
TOTAL NATIONAL FEE =									1,000.00		
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property								\$	40.00		
TOTAL FEES ENCLOSED =								\$	1,040.00		
									mount to be	\$	
·									mount to be	\$	
									harged:		
a. x A check in the amount of \$1,040.00 to cover the above fees is enclosed.											
b. Please charge my Deposit Account No. in the amount of \$											
to cover the above fees. A duplicate copy of this sheet is enclosed.											
c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any											
overpayment to Deposit Account No. 02-2448 . A duplicate copy of this sheet is enclosed.											
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card											
information should not be included on this form. Provide credit card information and authorization on PTO-2038.											
								o re	vive (37 CFR 1.137 (a) or (b))	
must be filed and granted to restore the International Application to pending status.											
SEND ALL CORRESPONDENCE TO:											
SIGNATURE:									A marata and Dis D		
									rmstrong, Ph.D.		
CUSTOMER NUMBER: 02292 NAME											
May 20, 2005 /smt								Λſ	0,069	•	
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Docket No.: 2815-0316PUS1

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Jens Damsgaard MIKKELSEN

Application No.: Not Yet Assigned

Confirmation No.: N/A

Filed: May 20, 2005

Art Unit: N/A

For: METHOD FOR SCREENING FOR

COMPOUND AS POTENTIAL SEDATIVES

OR ANXIOLYTICS

Examiner: Not Yet Assigned

LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The PTO is requested to use the amended sheets/claims attached hereto (which correspond to Article 19 amendments or to claims attached to the International Preliminary Examination Report (Article 34)) during prosecution of the above-identified national phase PCT application.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37.C.F.R. §1.16 or 1.14; particularly, extension of time fees.

10/535709

JC06 Rec'd PCT 20 MAY 2005

Docket No.: 2815-0316PUS1

Application No.: Not Yet Assigned

Dated: May 20, 2005

Respectfully submitted,

By maan Mary Anne Armstrong, Ph.D. Registration No.: 40,069

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